

GRI Index

GRI 102-55 The 2020 Uniper Sustainability Report is guided by the standards of the Global Reporting Initiative (GRI): “Core” option. References indicate which disclosures fulfill which GRI standards. In cases where a disclosure does not fulfill a standard completely, the Index provides additional information. In addition, we show the relationship to the United Nations Sustainable Development Goals (SDGs).

GRI indicator and disclosure name		Location	Additional information	SDGs
102: General information 2020				
Organizational profile				
102-1	Name of the organization	Annual Report 2020 – Corporate Profile – Business Model		
102-2	Activities, brands, products, and services	Annual Report 2020 – Corporate Profile – Business Model		
102-3	Location of headquarters	Annual Report 2020 – Corporate Profile – Business Model		
102-4	Location of operations	Annual Report 2020 – Corporate Profile – Business Model		
102-5	Ownership and legal form	Annual Report 2020 – Corporate Profile – Business Model		
102-6	Markets served	Annual Report 2020 – Corporate Profile – Business Model		
102-7	Scale of the organization	Annual Report 2020 – Performance Indicators at a Glance		
102-8	Information on employees and other workers	Annual Report 2020 – Performance Indicators at a Glance Sustainability Report 2020 – Key figures Sustainability Report 2020 – Key figures – Our people – Total number of employees		5, 8
102-10	Significant changes to the organization and its supply chain	Sustainability Report 2020 – Key figures – Climate Action and Security of Supply – Direct CO ₂ Emissions from Fuel Combustion by Country		

GRI indicator and disclosure name	Location	Additional information	SDGs
102-11 Precautionary principle or approach	Annual Report 2020 – Risk and Chances Report – Risk Management System Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions – Our decarbonization strategy Sustainability Report 2020 – Sustainability management – ESG risk management and due diligence Sustainability Report 2020 – Sustainability management – How we manage our commitments	ESG (Environmental, Social and Governance) risk management is part of Uniper’s overall risk management processes. A key component is the management of health and safety risks.	
102-12 External initiatives	Sustainability Report 2020 – Ensuring Respect for Human Rights Sustainability Report 2020 – Lobbying		16, 17
102-13 Membership of associations	Sustainability Report 2020 – Lobbying – Further memberships and initiatives Sustainability Report 2020 – Memberships		
Strategy			
102-14 Statement from senior decision maker	Sustainability Report 2020 – Foreword Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions – Our decarbonization strategy Sustainability Report 2020 – Sustainability management – Our sustainability strategy		
102-15 Key impacts, risks, and opportunities	Annual Report 2020 – Risk and Chances Report Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions – Our decarbonization strategy		

GRI indicator and disclosure name	Location	Additional information	SDGs
Ethics and Integrity			
102-16	Values, principles, standards, and norms of behavior	Annual Report 2020 – Relevant Information about Management Practices Sustainability Report 2020 – Sustainability management – How we manage our commitments Sustainability Report 2020 – Human rights and compliance culture – Governance and compliance – Code of conduct	
102-17	Mechanisms for advice and concerns about ethics	Sustainability Report 2020 – Sustainability management – How we manage our commitments Sustainability Report 2020 – Human rights and compliance culture – Governance and compliance – Code of conduct Sustainability Report 2020 – Diversity and inclusion – Preventing discrimination and harassment, fostering inclusion	
Governance			
102-18	Governance structure	Annual Report 2020 – Description of the Functioning of the Management Board and Supervisory Board and of the Composition and Functioning of Their Committees Sustainability Report – Sustainability management – How we manage our commitments	
102-19	Delegating authority	Annual Report 2020 – Description of the Functioning of the Management Board and Supervisory Board and of the Composition and Functioning of Their Committees Sustainability Report – Sustainability management – How we manage our commitments	
102-20	Executive-level responsibility for economic, environmental, and social topics	Annual Report 2020 – Description of the Functioning of the Management Board and Supervisory Board and of the Composition and Functioning of Their Committees Sustainability Report – Sustainability management – How we manage our commitments	

GRI indicator and disclosure name	Location	Additional information	SDGs
102-21 Consulting stakeholders on economic, environmental, and social topics	Sustainability Report 2020 – Stakeholder engagement – Engaging in ongoing dialogue – Actively engaging with NGOs		
102-23 Chair of the highest governance body	Annual Report 2020 – Description of the Functioning of the Management Board and Supervisory Board and of the Composition and Functioning of Their Committees – Management Board / Supervisory Board		
102-24 Nominating and selecting the highest governance body	Annual Report 2020 – Description of the Functioning of the Management Board and Supervisory Board and of the Composition and Functioning of Their Committees – Nomination Committee		
102-25 Conflicts of interest	Annual Report 2020 – Description of the Functioning of the Management Board and Supervisory Board and of the Composition and Functioning of Their Committees – Independence and Conflicts of Interests		
102-26 Role of highest governance body in setting purpose, values, and strategy	Annual Report 2020 – Description of the Functioning of the Management Board and Supervisory Board and of the Composition and Functioning of Their Committees – Management Board / Supervisory Board Sustainability Report 2020 – Sustainability management – How we manage our commitments		
102-29 Identifying and managing economic, environmental, and social impacts	Annual Report 2020 – Combined Separate Non-Financial Report Sustainability Report 2020 – Sustainability management – ESG risk management and due diligence Sustainability Report 2020 – Sustainability management – How we manage our commitments		
102-30 Effectiveness of risk management processes	Annual Report 2020 – Risk and Chances Report Sustainability Report 2020 – Sustainability management – ESG risk management and due diligence		
102-31 Review of economic, environmental, and social topics	Sustainability Report 2020 – Sustainability management – ESG risk management and due diligence		

GRI indicator and disclosure name	Location	Additional information	SDGs
102-35	Remuneration policies	Annual Report 2020 – Compensation Report	
102-36	Process for determining remuneration	Annual Report 2020 – Compensation Report Annual Report 2020 – Compensation Report – Basic Features of the Management Board Compensation Plan	
Stakeholder engagement			
102-40	List of stakeholder groups	Uniper's most important stakeholder groups are: customers, shareholders, investors, employees, contractors, suppliers, local governments and regulatory bodies, communities who live near our operations, NGOs, media, and the general public.	8, 16, 17
102-41	Collective bargaining agreements	Sustainability Report 2020 – Key figures – Our people – Employees covered by collective bargaining agreements	8, 16, 17
102-42	Identifying and selecting stakeholders	Uniper's stakeholder identification and selection process is not explicitly described, but being an energy provider, it is clear that our important stakeholder groups are customers, shareholders, investors, employees, contractors, suppliers, local governments and regulatory bodies, communities who live near our operations, NGOs, media, and the general public.	8, 16, 17
102-43	Approach to stakeholder engagement	Sustainability Report 2020 – Human rights and compliance culture – Bettercoal: Russia and Colombia Working Groups Sustainability Report 2020 – Stakeholder engagement – Engaging in ongoing dialogue Sustainability Report 2020 – Stakeholder engagement – Engaging in ongoing dialogue – Actively engaging with NGOs Sustainability Report 2020 – Human rights and compliance culture – Ensuring Respect for Human Rights – Coal supply chain issues and our Bettercoal engagement	8, 16, 17

GRI indicator and disclosure name		Location	Additional information	SDGs
Reporting practice				
102-45	Entities included in the consolidated financial statements	Sustainability Report 2020 – About this report		
102-46	Defining report content and topic boundaries	Sustainability Report 2020 – Sustainability management – Materiality assessment		
102-47	List of material topics	Sustainability Report 2020 – Sustainability management – Materiality assessment		
102-48	Restatements of information	Annual Report 2020 – Report of the Supervisory Board		
102-49	Changes in reporting	Sustainability Report 2020 – About this report	Material topics, data, and key figures shown in the previous year's report were updated on the basis of validation processes. Where appropriate, changes are marked and reported accordingly.	
102-50	Reporting period	Sustainability Report 2020 – About this report		
102-51	Date of most recent report	Sustainability Report 2020 – About this report		
102-52	Reporting cycle	Sustainability Report 2020 – About this report		
102-53	Contact point for questions regarding the report	Sustainability Report 2020 – Back cover		
102-54	Claims of reporting in accordance with the GRI Standards	Sustainability Report 2020 – About this report	The report's descriptions of our materiality assessment and management approach reflect the standards of the Global Reporting Initiative (GRI). The report uses GRI indicators to disclose information on selected issues; their use is referenced in each instance. We are working toward reporting in accordance with the GRI Standards: Core Option to provide our stakeholders with a more comprehensive overview.	
102-55	GRI content index	GRI content index		

GRI indicator and disclosure name	Location	Additional information	SDGs
205: Anti-corruption 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Human rights and compliance culture – Governance and compliance Sustainability Report 2020 – Human rights and compliance culture – Governance and compliance – Anti-corruption Sustainability Report 2020 – Human rights and compliance culture – Governance and compliance – Code of conduct Sustainability Report 2020 – Human rights and compliance culture – Governance and compliance – Compliance management system	
205-1	Operations assessed for risks related to corruption	Sustainability Report 2020 – Human rights and compliance culture – Governance and compliance – Anti-corruption Sustainability Report 2020 – Sustainability management – ESG risk management and due diligence	16
205-2	Communication and training about anti-corruption policies and procedures	Partially disclosed. Uniper’s employees have access to anti-corruption policies and procedures on our internal website and are provided with anti-corruption e-training. The total number and percentage of governance body members and employees to whom anti-corruption policies and procedures have been communicated, and the total number and percentage that have received anti-corruption training, are not reported.	16
205-3	Confirmed incidents of corruption and actions taken	Sustainability Report 2020 – Human rights and compliance culture – Governance and compliance – Anti-corruption Two new instances of alleged corruption and bribery were reported at Uniper in 2020. One case is pending, and one case was closed as unfounded.	16
207: Tax 2019			
103-1/2/3	Management approach	Tax Transparency Report 2020 Sustainability Report 2020 – Human rights and compliance culture – Tax transparency	
207-1	Approach to tax	Tax Transparency Report 2020 Sustainability Report 2020 – Human rights and compliance culture – Tax transparency	

GRI indicator and disclosure name	Location	Additional information	SDGs
207-2	Tax governance, control, and risk management	Tax Transparency Report 2020	
207-3	Stakeholder engagement and management of concerns related to tax	Tax Transparency Report 2020 Sustainability Report 2020 – Human rights and compliance culture – Tax transparency	
207-4	Country-by-country reporting	Tax Transparency Report 2020 Sustainability Report 2020 – Human rights and compliance culture – Tax transparency	
302: Energy 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions – Our decarbonization strategy Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions – Climate Action and Strategy Project Team	
302-1	Energy consumption within the organization	Sustainability Report 2020 – Key figures Sustainability Report 2020 – Key figures – Environmental protection – Natural gas consumption by our own power plants by country	Partially disclosed. Uniper reports on natural gas consumption by country as well as total coal consumption. Such disclosure, however, does not include total fuel consumption within the organization from renewable and other nonrenewable sources for electricity, heating, cooling, and steam consumption.
302-3	Energy intensity	Sustainability Report 2020 – Climate Action and Security of Supply – Innovations for a low-carbon future	Partially disclosed. Uniper does not disclose quantifiable data on the energy intensity ratio of the company (and types of energy included – fuel, electricity, heating, cooling).
302-4	Reduction of energy consumption	Sustainability Report 2020 – Climate Action and Security of Supply – Innovations for a low-carbon future Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions – Supporting the energy transition	Partially disclosed. Uniper describes efforts to increase power plant energy efficiency and reduce energy consumption in office buildings. The amount by which energy consumption has been reduced, as well as calculations and methodologies, are not disclosed.
302-5	Reductions in energy requirements of products and services		Partially disclosed. Uniper reports on improvements in power plant energy efficiency, such as increasing thermal efficiency.

GRI indicator and disclosure name	Location	Additional information	SDGs
303: Water and Effluents 2018			
103-1/2/3	Management approach	Sustainability Report 2020 – Environmental protection – Water optimization	
303-1	Interactions with water as a shared resource	Sustainability Report 2020 – Environmental protection – Water optimization Sustainability Report 2020 – Environmental protection – Water optimization – The main steps we take to improve water use Sustainability Report 2020 – Environmental protection – Water optimization – Water risks	Partially disclosed. Cooling water withdrawal and discharge data is reported. In 2020 we used the World Resource Institute's Aqueduct Water Risk Atlas to assess whether any of our thermal power plants are located in areas of possible water stress. Uniper does not define water-related goals and targets. 12
303-2	Management of water discharge-related impacts	Sustainability Report 2020 – Environmental protection – Water optimization – The main steps we take to improve water use	National and local legislation and good practice define the minimum requirements and standards for water use. Uniper complies with all applicable laws and regulations. The EU enacted the Water Framework Directive (WFD) in 2000. It obliges member states to achieve a good status for all bodies of water within their jurisdiction. We fully support the WFD.
303-3	Water withdrawal	Sustainability Report 2020 – Environmental protection – Water optimization – Total water withdrawal and discharge Sustainability Report 2020 – Key figures Sustainability Report 2020 – Key figures – Environmental protection – Total water withdrawal for cooling	12
303-4	Water discharge	Sustainability Report 2020 – Key figures – Environmental protection – Total cooling water discharge	12

GRI indicator and disclosure name	Location	Additional information	SDGs
304: Biodiversity 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Environmental protection – Promoting biodiversity	
304-1	Operational sites owned, leased, or managed in, or adjacent to, protected areas and areas of high biodiversity value outside protected areas	Sustainability Report 2020 – Environmental protection – Promoting biodiversity – Fast lanes for fish Sustainability Report 2020 – Environmental protection – Promoting biodiversity – Litzau Loop: breeding grounds for fish and birds Sustainability Report 2020 – Environmental protection – Promoting biodiversity – Power plant’s removal creates spawning ground Sustainability Report 2020 – Environmental protection – Promoting biodiversity – Preserving biodiversity in Wales Sustainability Report 2020 – Environmental protection – Promoting biodiversity – Safe habitats and passages for eels	Partially disclosed. We do disclose some operational sites that are located in protected areas or areas of high biodiversity value, but do not publish all requested information, such as size of operational site or biodiversity value.
304-2	Significant impacts of activities, products, and services on biodiversity	Sustainability Report 2020 – Environmental protection – Promoting biodiversity – Fast lanes for fish	Partially disclosed. Some hydro plants have impacts on migration for fish populations near hydro plant locations. However, Uniper does not mention other specific significant impacts on biodiversity, such as pollution, reduction of species, habitat conversion, or changes in ecological processes outside of the natural range.
304-3	Habitats protected or restored	Sustainability Report 2020 – Environmental protection – Promoting biodiversity – Fast lanes for fish Sustainability Report 2020 – Environmental protection – Promoting biodiversity – Litzau Loop: breeding grounds for fish and birds Sustainability Report 2020 – Environmental protection – Promoting biodiversity – New meadow for meadow vipers Sustainability Report 2020 – Environmental protection – Promoting biodiversity – Power plant’s removal creates spawning ground Sustainability Report 2020 – Environmental protection – Promoting biodiversity – Safe habitats and passages for eels	Partially disclosed. Uniper publishes measures implemented to protect or restore habitats, but does not publish the size of habitats.

GRI indicator and disclosure name	Location	Additional information	SDGs
305: Emissions 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Climate Action and Security of Supply Sustainability Report 2020 – Climate Action and Security of Supply – Climate Action and Strategy Project Team Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions Sustainability Report 2020 – Environmental protection – Air emissions Sustainability Report 2020 – Innovations for a low-carbon future	
305-1	Direct (Scope 1) GHG emissions	Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions – Our decarbonization strategy Sustainability Report 2020 – Key figures Sustainability Report 2020 – Key figures – Climate Action and Security of Supply – Direct CO ₂ Emissions from Fuel Combustion by Country	12, 13
305-2	Energy indirect (Scope 2) GHG emissions	Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions – Greenhouse Gas Protocol Scope 2 and 3 Sustainability Report 2020 – Key figures Sustainability Report 2020 – Key figures – Climate Action and Security of Supply – Indirect CO ₂ emissions	12, 13
305-3	Other indirect (Scope 3) GHG emissions	Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions – Greenhouse Gas Protocol Scope 2 and 3	12, 13
305-4	GHG emissions intensity	Annual Report 2020 – Performance Indicators at a Glance Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions – Our decarbonization strategy	Uniper reports carbon emissions intensity: We calculate carbon intensity using the financial control approach. This means that our carbon intensity is the ratio of the direct CO ₂ emissions from our fully consolidated, stationary fossil-fueled power plants and power-and-heat plants to these plants' power and heat output. It does not include plants that produce heat and/or steam only.
305-5	Reductions of GHG emissions	Sustainability Report 2020 – Climate Action and Security of Supply – GHG emissions – Supporting the energy transition	12, 13

GRI indicator and disclosure name	Location	Additional information	SDGs
305-7 Nitrogen oxides (NO _x), sulfur oxides (SO _x), and other significant air emissions	Sustainability Report 2020 – Air emissions Sustainability Report 2020 – Key figures Sustainability Report 2020 – Key figures – Environmental protection – Dust emissions Sustainability Report 2020 – Key figures – Environmental protection – NO _x emissions Sustainability Report 2020 – Key figures – Environmental protection – SO ₂ emissions	Partially disclosed. Uniper reports NO _x and SO ₂ emissions, and dust (particulate matter). Uniper limits mercury emissions to the Industrial Emissions Directive (IED) annual emission limit value of 10 µg/m ³ . Uniper does not report persistent organic pollutants (POPs), volatile organic compounds (VOCs), or hazardous air pollutants. Methodologies and calculations are not disclosed.	12, 13
306: Waste 2020			
103-1/2/3	Management approach	Sustainability Report 2020 – Waste minimization	
306-1	Waste generation and significant waste-related impacts	Sustainability Report 2020 – Waste minimization	
306-2	Management of significant waste-related impacts	Sustainability Report 2020 – Waste minimization Sustainability Report 2020 – Managing radioactive waste in Sweden	
306-3	Waste generated	Sustainability Report 2020 – Waste minimization Sustainability Report 2020 – Key figures – Environmental protection – European hazardous and nonhazardous operational waste Sustainability Report 2020 – Key figures – Environmental protection – Pulverized fly ash (PFA), furnace bottom ash (FBA), and gypsum	12
307: Environmental Compliance 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Environmental protection Sustainability Report 2020 – Environmental protection – Continually improving	
307-1	Noncompliance with environmental laws and regulations	Sustainability Report 2020 – Environmental protection – Environmental regulation	Partially disclosed

GRI indicator and disclosure name	Location	Additional information	SDGs
401: Employment 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Our people – Fair and attractive employer – How we manage and reward our workforce	
401-1	New employee hires and employee turnover	Annual Report 2020 – Performance Indicators at a Glance Sustainability Report 2020 – Our people – Fair and attractive employer – How we manage and reward our workforce Sustainability Report 2020 – Our people – Fair and attractive employer – New hires from external market	Uniper discloses new hires from the external market by age range, gender, and country of employment. Reports voluntary and involuntary leavers by age and length of tenure, and by gender and length of tenure. 5, 8
401-2	Benefits provided to full-time employees that are not provided to temporary or part-time employees	Uniper provides its employees with valuable benefits, such as disability insurance and family coverage. In several countries, it provides attractive retirement planning as well. Temporary or part-time employees are not addressed.	8
403: Occupational Health and Safety 2018			
103-1/2/3	Management approach	Sustainability Report 2020 – Our people – Health and safety Sustainability Report 2020 – Our people – Health and safety – Comprehensive HSSE management Sustainability Report 2020 – Our people – Health and safety – How we strive to improve health and safety	
403-1	Occupational health and safety management system	Sustainability Report 2020 – Our people – Health and safety	8
403-2	Hazard identification, risk assessment, and incident investigation	Sustainability Report 2020 – Our people – Health and safety	8
403-3	Occupational health services	Sustainability Report 2020 – Our people – Health and safety – Focus on a healthy Uniper	8
403-4	Worker participation, consultation, and communication on occupational health and safety	Sustainability Report 2020 – Our people – Health and safety – Comprehensive HSSE management	8

GRI indicator and disclosure name	Location	Additional information	SDGs	
403-5	Worker training on occupational health and safety on occupational health and safety	Sustainability Report 2020 – Our people – Health and safety – Comprehensive HSSE management	8	
403-6	Promotion of worker health	Sustainability Report 2020 – Our people – Health and safety – Focus on a healthy Uniper	Partially disclosed. Details on employee access to nonoccupational medical and health-care services is not available.	8
403-8	Workers covered by an occupational health and safety management system	Sustainability Report 2020 – Our people – Health and safety	Partially disclosed. The H&S management systems of all our operating entities are certified to OHSAS 18001 or ISO 45001, the internationally recognized standard for H&S management, and are regularly reviewed and certified by independent experts. Not disclosed: the number and percentage of all employees and workers who are not employees whose work and/or workplace is controlled by Uniper who are covered by such a system that has been internally audited, or that has been audited or certified by an external party.	8
403-9	Work-related injuries	Sustainability Report 2020 – Our people – Health and safety – How we strive to improve health and safety Sustainability Report 2020 – Key figures – Our people – Health and safety – Total recordable incident frequency (TRIF)	Partially disclosed. Uniper reports employee and contractor total recordable incident frequency (TRIF) and lost-time injury frequency (LTIF). The company does not report on work-related hazards and risks and how they are dealt with. The company does not report on whether any employees have been excluded from these calculations.	8
404: Training and Education 2016				
103-1/2/3	Management approach	Sustainability Report 2020 – Our people – Fair and attractive employer Sustainability Report 2020 – Our people – Fair and attractive employer How we manage our attractiveness as an employer		
404-2	Programs for upgrading employee skills and transition assistance programs	Sustainability Report 2020 – Our people – Health and safety – Comprehensive HSSE management Sustainability Report 2020 – Fair and attractive employer – Training Sustainability Report 2020 – Our people – Diversity and inclusion – Diversity and Inclusion Improvement Plan Sustainability Report 2020 – Our people – Diversity and inclusion – Preventing discrimination and harassment, fostering inclusion Sustainability Report 2020 – Human rights and compliance culture – Governance and compliance – Code of conduct		

GRI indicator and disclosure name	Location	Additional information	SDGs
405: Diversity and Equal Opportunity 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Our people – Diversity and inclusion Sustainability Report 2020 – Our people – Diversity and inclusion – How we manage diversity and inclusion	
405-1	Diversity of governance bodies and employees	Annual Report 2020 – Performance Indicators at a Glance Annual Report 2020 – Corporate Governance Report Sustainability Report 2020 – Our people – Diversity and inclusion – Promoting gender equality and fostering female talent	Uniper reports the percentage of high-level positions held by which gender. Employee numbers by gender and country of employment are disclosed. Diversity by other diversity factors is not disclosed. The annual report discloses the percentage of female employees and the average age of employees. 5
408: Child Labor 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Ensuring Respect for Human Rights	
408-1	Operations and suppliers at significant risk for incidents of child labor		Uniper screens suppliers for social issues including child labor violations, but does not report on the operations and suppliers or geographic areas considered to have significant risks for child labor. 8, 16
409: Forced or Compulsory Labor 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Ensuring Respect for Human Rights	
409-1	Operations and suppliers at significant risk for incidents of forced or compulsory labor		Uniper complies with the UK Modern Slavery Act and screens suppliers for social issues including forced labor violations, but does not report on the operations and suppliers or geographic areas considered to have significant risks for forced or compulsory labor. 8, 16
412: Human Rights Assessment 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Ensuring Respect for Human Rights	Uniper also publishes a Modern Slavery and Human Trafficking Statement for the UK, recognizing the importance of human rights and describing policies underlining it.
412-1	Operations that have been subject to human rights reviews or impact assessments	Sustainability Report 2020 – Sustainability management – ESG risk management and due diligence	Uniper conducts an annual global assessment, which is based on a combination of economic and social indexes, to map country-specific issues that may directly affect our company if we pursue new business opportunities. These issues include working conditions, the violation of political rights and civil liberties, and security threats. The total number and percentage of operations that have been subject to human rights reviews or human rights impact assessments by country is not disclosed. 8, 16

GRI indicator and disclosure name	Location	Additional information	SDGs
414: Supplier social assessment 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Ensuring Respect for Human Rights	
414-1	New suppliers that were screened using social criteria	Sustainability Report 2020 – Sustainability management – ESG risk management and due diligence Sustainability Report 2020 – Human rights and compliance culture – Ensuring Respect for Human Rights – Coal supply chain issues and our Bettercoal engagement	Uniper screens suppliers for social issues and is committed to assessing 100% of its new counterparties according to its social screening system (ESG due diligence) by 2022. Uniper also publishes a Modern Slavery and Human Trafficking Statement for the UK, recognizing the importance of human rights and describing policies underlining it.
414-2	Negative social impacts in the supply chain and actions taken	Sustainability Report 2020 – Sustainability management – ESG risk management and due diligence Sustainability Report 2020 – Human rights and compliance culture – Ensuring Respect for Human Rights – Coal supply chain issues and our Bettercoal engagement	Uniper monitors country-specific issues such as working conditions and the violation of political rights and civil liberties. Uniper has strengthened its due diligence requirements and instituted mitigation measures, such as the inclusion of specific deal-break or performance-suspension clauses. Uniper has a company-wide screening process for all new potential counterparties, intermediaries, and business partners. The severe negative impacts monitored include modern slavery, unlawful community displacement, and child labor.
418: Customer Privacy 2016			
103-1/2/3	Management approach	Sustainability Report 2020 – Human rights and compliance culture – Safeguarding personal data Sustainability Report 2020 – Human rights and compliance culture – Safeguarding personal data – Compliance with GDPR	
418-1	Substantiated complaints concerning breaches of customer privacy and losses of customer data	Sustainability Report 2020 – Human rights and compliance culture – Safeguarding personal data – Compliance with GDPR	

GRI indicator and disclosure name	Location	Additional information	SDGs
G4 Electric Utilities Sector Disclosures			
Economic disclosures			
Availability and reliability			
103-1/2/3	Management approach	Sustainability Report 2020 – Climate Action and Security of Supply – Secure, affordable, and reliable energy supply Sustainability Report 2020 – Climate Action and Security of Supply – Secure, affordable, and reliable energy supply – How we manage our generation fleet	
G4 EU10	Planned capacity against projected electricity demand over the long term, broken down by energy source and regulatory regime	Sustainability Report 2020 – Climate Action and Security of Supply – Secure, affordable, and reliable energy supply – How we manage our generation fleet	7
System efficiency			
103-1/2/3	Management approach	Sustainability Report 2020 – Environmental protection – Energy efficiency Sustainability Report 2020 – Environmental protection – Fuels and by-products	
G4 EU11	Average generation efficiency of thermal plants by energy source and by regulatory regime	Sustainability Report 2020 – Environmental protection – Energy efficiency	7